

## REGUSHIELD AI AUDIT REPORT

Case Reference: CASE-2026-7153

Case ID: 10

Workflow: transaction\_monitoring

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Audit Hash: 5621ad51d6019ef52eac0acdc9cefd5ffaaf328aeedea9198c66d8d986d53a2

### RISK MATRIX

Risk Level: low

Risk Score: 25

Status: open

### TRANSACTION CONTEXT

Amount: EUR 5000

Transaction Count (24h): 2

Customer Type: business

Customer Risk Level: medium

Route: DE -> LT

Cross-Border: Yes

PEP Flag: No

Suspicious Pattern: No

### COMPLIANCE INPUTS

KYC Status: complete

Sanctions Screening: passed

Source of Funds: verified

### AI REASONING

Currently low risk while the engine continues to track cross-border route for ongoing audit traceability.

### LEGAL BASIS

- AML6 - High-Risk Factors / Cross-Border Review
- EU AI Act Art. 13 - Transparency & Explainability
- AVNT / KGK / ISA Audit Readiness

### NARRATIVE

The transaction amount of €5000 is within typical operational limits. The customer type is a business, with a medium risk profile mitigating excessive scrutiny. The transactions are domestic-focused (Germany to Lithuania), reducing cross-border risks further. All compliance checks, including KYC and source of funds verification, have returned positive results, suggesting effective organizational controls.

### RECOMMENDED ACTIONS

- Review transaction patterns periodically. [low / open]

- Maintain updated KYC records. [low / open]
- Continue monitoring customer transactions regularly. [low / open]

NOTES

Normal case

END OF REPORT